Monetta Mutual Funds

Coverdell Transfer Form

FOR ADDITIONAL INFORMATION PLEASE CALL TOLL FREE 1-800-241-9772

MAIL TO: MONETTA FUNDS
c/o U.S. Bank Global Fund Services
PO Box 219252
Kansas City, MO 64121-9252

OVERNIGHT MAIL TO: MONETTA FUNDS
c/o U.S. Bank Global Fund Services
801 Pennsylvania Ave Suite 219252
Kansas City, MO 64105-1307

Please use this form to transfer assets from an existing Education Savings Account to a Monetta Funds Education Savings Account. If you are opening a new Education Savings Account for this transfer, please complete an Education Savings Account Application. Once completed, mail this application to the address above

PLEASE PRINT ALL ITEMS EXCEPT SIGNATURE(S) AND BE SURE TO SIGN SECTION 5 OF THIS FORM.

1 NAME, ADDRESS AND CONSENT OF PERSON WHO CONTROLS THE CURRENT ACCOUNT

PARENT/ GUARDIAN FIRST NAME | *M.I.* | *LAST NAME.* | *SOCIAL SECURITY NUMBER*

DATE OF BIRTH | *MAILING ADDRESS* | *CITY / STATE / ZIP*

EMAIL ADDRESS | *DAYTIME PHONE NUMBER* | *EVENING PHONE NUMBER*

☐ Mother ☐ Father ☐ Guardian*

*If "guardian", submit proof of guardianship.

2 NAME OF STUDENT (For whom the education savings account is benefiting)

FIRST NAME OF STUDENT | *M.I.* | *LAST NAME* | *SOCIAL SECURITY NUMBER*

DATE OF BIRTH | *MAILING ADDRESS* | *CITY / STATE / ZIP*

EMAIL ADDRESS | *DAYTIME PHONE NUMBER* | *EVENING PHONE NUMBER*

3 INSTRUCTION TO CURRENT EDUCATION SAVINGS ACCOUNT CUSTODIAN OR TRUSTEE

CURRENT ACCOUNT NUMBER | *NAME OF CUSTODIAN / TRUSTEE*

MAILING ADDRESS | *CITY / STATE / ZIP*

Please transfer assets from the above account to U.S. Bank, NA. Transfer should be in cash according to the following instructions:.

☐ Transfer the total amount in this Account.

☐ Transfer $_____ **or** _____ shares and retain the balance.

Make check payable to Monetta Funds FBO _____

BENEFITING STUDENT'S NAME

4 FUND SELECTION

Please indicate the amount to be invested in each fund

Check one box and complete the necessary information:

☐ Invest the transferred amount in accordance with the investment instructions currently in effect for the Student's Monetta Fund Education Savings Account.
If such an Account is already open, please provide the account number: _____

☐ Invest the transferred amount to my Education Savings Account as follows:

☐ MONETTA FUND, (9) .....$ _____

☐ MONETTA YOUNG INVESTOR FUND, (17) .....$ _____

Total All Funds .....$ _____

The undersigned acknowledges having sole responsibility for the foregoing investment choices and having received a current prospectus for each Fund selected. Please read the prospectus(es) for the Monetta Fund selected, including the privacy notice. The undersigned understands that the requirements for a valid transfer between Education Savings Accounts are complex and acknowledges having responsibility for complying with all requirements and for the tax results of any such transfer.

5 SIGNATURE OF STUDENT, PARENT OR GUARDIAN

X

SIGNATURE OF STUDENT / PARENT / GUARDIAN (PLEASE CIRCLE ONE)

DATE (MM/DD/YYYY)

SPECIAL NOTE: If Student is a minor under the law of Student's state of residence, the parent or guardian must execute this Education Savings Account Transfer of Assets Form.

SIGNATURE GUARANTEE (only if required by current custodian or trustee) A signature guarantee may be obtained from a bank, a member of a national securities exchange, savings and loan associations, credit union, broker, or other acceptable financial institutions. Please note that a Notary Public stamp or seal is unacceptable.

✔ **Under penalty of perjury, I certify that:**

(1) the Social Security or taxpayer identification number shown on this form is my correct taxpayer identification number, and
(2) I am not subject to backup withholding as a result of either being exempt from backup withholding, not being notified by the IRS of a failure to report all interest and dividends, or the IRS has notified me that I am no longer subject to backup withholding, and
(3) I am a U.S. person (including a U.S. resident alien), and
(4) I am exempt from FATCA reporting.

(Cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding due to a failure to report all interest and dividends).

***The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**

SIGNATURE GUARANTEE STAMP

DATE: (MM/DD/YYYY)

6 ACCEPTANCE BY NEW CUSTODIAN

To be completed by U.S. Bank, NA.

U.S. Bank, NA. agrees to accept transfer of the above amount for deposit to the Student's U.S. Bank, NA. Coverdell Education Savings Custodial Account, and requests the liquidation and transfer of assets as indicated above.

Appointment as Custodian accepted:
U.S. BANK, NA

For additional information please call toll-free 1-800-241-9772

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